Towards Artificial

Mirco Schönfeld University of Bayreuth

mirco.schoenfeld@uni-bayreuth.de @TWIyY29



Intelligence





Types of Machine Learning

Unsupervised Learning

Data: Just data, no labels

2

Goal: Learn underlying structure



This thing is like the other thing

Supervised Learning

Data: Labeled data

Goal: Learn function mapping data to labels





This thing is an apple

Reinforcement Learning

Data: State-action pairs

Goal: Maximize future rewards over many time steps



Eat this thing because it keeps you healthy



Reinforcement Learning

3

General-purpose framework for artificial intelligence

- Based on the concept of *agents* having the capacity to *act*
- Each *action* influences the agent's future state
- Success is measurable by some reward

RL-based system have a *goal* or an *objective*

Ultimately, the aim is to learn a sequence of actions to maximise future reward





Reinforcement Learning vs. Un/Supervised Learning

- No human supervision, only a reward signal
- Feedback is delayed, not instantaneous
- Time matters (sequential data)
- Agent's actions affect subsequent actions

Prof. Dr. Mirco Schönfeld | Data Modeling & Knowledge Generation | v1.0





Key Concepts of (Reinforcement) Learning



5





Examples of (Reinforcement) Learning

- Fly stunt manoeuvres in a helicopter
- Manage an investment portfolio \bullet
- Make a humanoid robot walk \bullet

6

Place many different computer games better than humans

How would you measure success?





Measuring Success in (Reinforcement) Learning

- Fly stunt manoeuvres in a helicopter + (positive reward) for following a desired trajectory
 - (negative reward) for crashing
- Manage an investment portfolio + for every € earned
- Make a humanoid robot walk
 - + for forward motion
 - for falling over
- Place many different computer games better than humans
 - + for increasing a score
 - for decreasing the score





Reward

8

A reward is a scalar feedback signal indicating how well an agent is doing at a certain point in time

Immediate *feedback*

Agent's goal: maximise cumulative reward





Learning to Succeed

Goal:

9

Select a sequence of actions to maximise *total future reward*

- Actions may have long term consequences e.g. a financial investment may take months to mature
- Reward may be delayed e.g. repairing a helicopter might prevent a crash in the future
- Sacrificing immediate reward might be better to gain more long-term reward e.g. blocking opponent moves might increase winning chances many moves later





Key Concepts of Reinforcement Learning

Action:

A move the agent can make in the environment. Chosen from **Action space A**, i.e. the set of possible actions.



Observation:

Agent perceives the **State** of the environment after taking actions

Agent: Takes actions

10



1. action

3. reward

Feedback that measures success or failure of the agent's actions

2. observation

The world in which the agent exists and operates





Reinforcement Learning

11





Reinforcement Learning is an iterative process

At each step *t* the agent:

- Receives observation o_t
 - Receives scalar reward r_t
 - Executes action a_t

The environment:

- Receives action a_t
 - Emits observation o_{t+1}
- Emits scalar reward r_{t+1}

The next time step starts with an incremented *t*



Reinforcement Learning

RL is essentially trial-and-error learning The environment is initially unknown. By interacting with the environment, the agent improves its policy

Exploration & exploitation need to be balanced

- Exploration finds more information about the environment
- Exploitation makes use of known information to maximise reward

Example:

Restaurant Selection

Stick with your favourite – *exploitation* – or try a new one – *exploration*?

Online Banner Advertisements

Show the most successful banner – *exploitation* – or show a different one – *exploration*?





Policy function (often denoted as π) An agent's behaviour function. Essentially a map from state to action. Can be represented deterministically or stochastically

Value function (often denoted as v_{π}) Prediction of future reward. Evaluates goodness/badness of states Selects between actions based on a particular policy

Model

13

Predicts the next state and the next (immediate) reward



Agent's representation of the environment. How the environment may work.



Approaches to Reinforcement Learning

Policy-based RL Search for the optimal policy Achieves maximum future reward from every state

Value-based RL Estimate the optimal value function

Model-based RL

Build a transition model of the environment Plan using the model



Takes into account all possible ways to behave in particular situations (policies)





Breakout Example

15

- Rules of the game are unknown
- Learn directly from lacksquareinteractive game-play
- Action set determined by joystick
- Pick actions on joystick lacksquare
- Observe pixels and see scores



https://commons.wikimedia.org/wiki/File:Atari-2600-Joystick.jpg https://en.wikipedia.org/wiki/File:Breakout2600.svg

Mnih, V., et al. (2013). Playing atari with deep reinforcement learning. NIPS Deep Learning Workshop, 2013. Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015).

Prof. Dr. Mirco Schönfeld | Data Modeling & Knowledge Generation | v1.0





observation o_t







Breakout Example





Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015).

Prof. Dr. Mirco Schönfeld | Data Modeling & Knowledge Generation | v1.0





https://www.youtube.com/watch?v=V1eYniJ0Rnk



Deep Reinforcement Learning

Use a deep neural network to represent RL's value function / policy / model

Not necessarily limited to a fixed data set

Learns how to best accomplish its goal in diverse settings

Without any human supervision or guidance



Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015). Schrittwieser, J., Antonoglou, I., Hubert, T. et al. Mastering Atari, Go, chess and shogi by planning with a learned model. Nature 588, 604–609 (2020).







Deep Reinforcement Learning in NLP

Deep RL models are increasingly used in Natural Language Processing (NLP) tasks:

- Article summarization
- Question answering
- **Dialogue** generation
- Machine translation
- Text generation

These models need to be BIG!

GPT-3:

Trained on 45TB of text data. Has about 175 Billion parameters. Only for English language





Large Models... why should we care?

Training large models consumes a lot of electricity.

Training one version of Google's language model, BERT, produced 1438 pounds of CO_2 – roughly a flight NY-SF-NY Of course, models are trained and retrained many times over in practice.

At the same time,

- It is hard to audit training data checking for embedded biases
- It is even hard to prevent contamination of training & test data
- (Language) models don't actuall understand (language)

Is this *misdirected* research effort?

https://www.technologyreview.com/2020/12/04/1013294/

Strubell, E., Ganesh, A., & McCallum, A. (2019, July). Energy and Policy Considerations for Deep Learning in NLP. In Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics Bender, E. M., Gebru, T., McMillan-Major, A., & Shmitchell, S. (2021). On the Dangers of Stochastic Parrots: Can Language Models Be Too Big? 🗓 . Brown, Tom B., et al. "Language models are few-shot learners." arXiv preprint arXiv:2005.14165 (2020).



Common carbon footprint benchmarks

in lbs of CO2 equivalent



Chart: MIT Technology Review • Source: Strubell et al. • Created with Datawrapper



Ethics in Machine Learning & Artificial Intelligence

Why this matters

Goal of ML & Al models is to change people's behaviour e.g. in recommendation settings where the goal is to make people buy more stuff

Creating these models is more than optimization & improving predictive accuracy

These ethical issues are complex and often not easy to answer

You won't find any answers in this section either \odot



- Technical design decisions suddenly have ethical implications for people's every day lifes



Bias vs. Variance

We need to make assumptions to build effective machine learning algorithms (remember the no-free-lunch theorem?)

Making assumptions leads to *bias* built into algorithms

Expected prediction error = $bias^2$ + variance + noise

- Bias: average prediction error over all data sets
- Variance: variation between solutions for different data sets (stability)
- Noise: deviation of measurements from the true value (unavoidable error)





Bias vs. Variance

Problem:

Low bias comes with high variance,

Low variance comes with high bias.

Bias too high: Data isn't fit well, solutions too restricted

Bias too low: Variance too high, overfitting.





Model Complexity





Different types of bias

• Data not representative

24

- Data may have missing parts
- Training data may not reflect objectives
- Look at wrong metric
- Observing low bias by chance

Prof. Dr. Mirco Schönfeld | Data Modeling & Knowledge Generation | v1.0











@mayank_jee can i jus n stoked to meet u? humans are super cool

23/03/2016, 20:32

@UnkindledGurg @PooWithEyes chill im a nice person! i just hate everybody

24/03/2016, 08:59

Garvan, A. (2016). Hey Microsoft, the Internet Made My Bot Racist, Too. https://medium.com/@anthonygarvan/hey-microsoft-the-internet-made-my-bot-racist-too-d897fa847232



The fundamental assumption of every machine learning algorithm is that the past is correct, and anything coming in the future will be, and should be, like the past. This is a fine assumption to make when you are Netflix trying to predict what movie you'll like, but is immoral when applied to many other situations. Anthony Garvan





Terrance AB Johnson @tweeterrance

#faceapp isn't' just bad it's also racist... filter=bleach my skin and make my nose your opinion of European. No thanks **#uninstalled**



8:38 PM · Apr 19, 2017 · Twitter for iPhone

....







Jacky Alciné @jackyalcine

not a gorilla.



6:22 am · 29 Jun. 15

V

Google Photos, y'all fucked up. My friend's







Johanna Järvelä @johannajarvela

In Finnish we have only one pronoun for third person regardless of the gender.

....

If you copy-paste the sentence below to google translate (or just click open original post for English translation), you see how the algorithm has learnt to be sexist.

8:12 AM · Mar 9, 2021 · Twitter Web App







Another Arrest, and Jail Time, Due to a **Bad Facial Recognition Match**

A New Jersey man was accused of shoplifting and trying to hit an officer with a car. He is the third known Black man to be wrongfully arrested based on face recognition.







https://www.nytimes.com/2020/12/29/technology/facial-recognition-misidentify-jail.html https://www.nytimes.com/2019/12/19/technology/facial-recognition-bias.html https://www.nytimes.com/2020/01/18/technology/clearview-privacy-facial-recognition.html https://www.propublica.org/article/machine-bias-risk-assessments-in-criminal-sentencing



Machine Bias

There's software used across the country to predict future criminals. And it's biased against blacks.

by Julia Angwin, Jeff Larson, Surya Mattu and Lauren Kirchner, ProPublica

May 23, 2016

Prediction Fails Differently for Black Defendants

	WHITE	AFRICAN AME
Labeled Higher Risk, But Didn't Re-Offend	23.5%	
Labeled Lower Risk, Yet Did Re-Offend	47.7%	

Overall, Northpointe's assessment tool correctly predicts recidivism 61 percent of the time. But blacks are almost twice as likely as whites to be labeled a higher risk but not actually re-offend. It makes the opposite mistake among whites: They are much more likely than blacks to be labeled lower risk but go on to commit other crimes. (Source: ProPublica analysis of data from Broward County, Fla.)







A War of Words Puts Facebook at the Center of Myanmar's Rohingya Crisis

By Megan Specia and Paul Mozur Oct. 27, 2017

Across Myanmar, Denial of Ethnic Cleansing and Loathing of Rohingya

By Hannah Beech

Oct. 24, 2017

"Kalar are not welcome here because they are violent and they multiply like crazy, with so many wives and children," he said.

Mr. Aye Swe admitted he had never met a Muslim before, adding, "I have to thank Facebook because it is giving me the true information in Myanmar."

https://www.nytimes.com/2017/10/27/world/asia/myanmar-government-facebook-rohingya.html https://www.nytimes.com/2017/10/24/world/asia/myanmar-rohingya-ethnic-cleansing.html https://arstechnica.com/information-technology/2016/08/facebook-fires-human-editors-algorithm-immediately-posts-fake-news/ https://www.bbc.com/news/world-asia-46105934 https://www.bbc.com/news/world-asia-59558090



Facebook fires human editors, algorithm immediately posts fake news

Facebook makes its Trending feature fully automated, with mixed results.

ANNALEE NEWITZ - 8/29/2016, 8:20 PM

Facebook admits it was used to 'incite offline violence' in Myanmar

() 6 November 2018

Rohingya sue Facebook for \$150bn over Myanmar hate speech

③ 7 December

Social Media platforms are not neutral

- Revenue model is based on clicks/impressions \bullet
- Involves experiments with content, recommendations, ...
- Controls and filters available to users & advertisers



l	l		
	l		
	J		

Questions to keep in mind

• What bias may be in the data?

31

- How diverse is the team that built it?
- What are error rates for different sub-groups?
- What is the accuracy of a simple rule-based alternative?
- How are appeals or mistakes being handled?





We have an ethical obligation to not teach machines to be prejudiced.

Evan Estola, 27.05.2016 https://www.youtube.com/watch?v=MqoRzNhrTnQ







Thanks. mirco.schoenfeld@uni-bayreuth.de https://xkcd.com/1838/