# Towards Artificial Intelligence

Mirco Schönfeld
University of Bayreuth

mirco.schoenfeld@uni-bayreuth.de
@TWlyY29

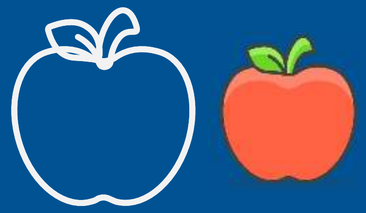
# Types of Machine Learning

UNIVERSITÄT BAYREUTH

## Unsupervised Learning

Data:
Just data, no labels

Goal:
Learn underlying structure




This thing is like the other thing

## Supervised Learning

Data:
Labeled data

Goal:
Learn function mapping data to labels

This thing is an apple

## Reinforcement Learning

Data:
State-action pairs

Goal:
Maximize future rewards over many time steps

Eat this thing because it keeps you healthy

# Reinforcement Learning

General-purpose framework for artificial intelligence

- Based on the concept of *agents* having the capacity to *act*
- Each *action* influences the agent‘s future *state*
- Success is measurable by some *reward*

RL-based system have a *goal* or an *objective*

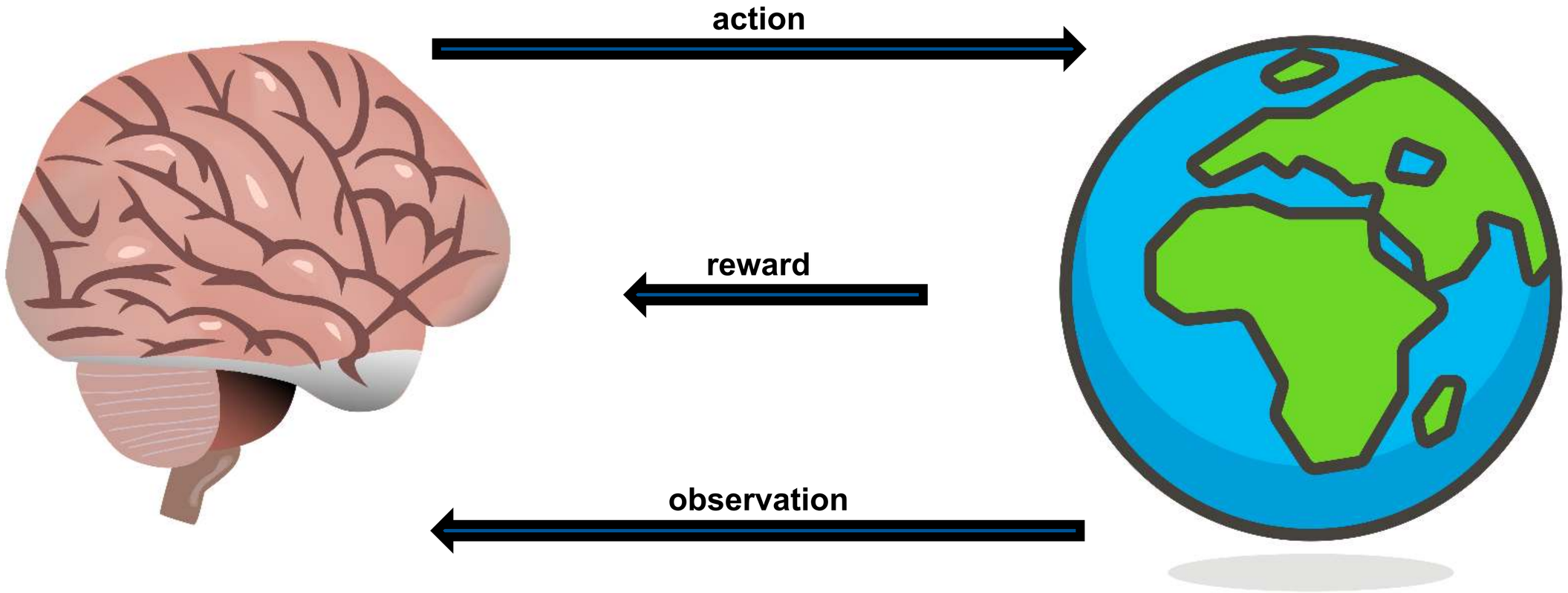
Ultimately, the aim is to learn a sequence of actions to maximise future reward

# Reinforcement Learning vs. Un/Supervised Learning



- No human supervision, only a reward signal
- Feedback is delayed, not instantaneous
- Time matters (sequential data)
- Agent‘s actions affect subsequent actions

# Key Concepts of (Reinforcement) Learning




https://commons.wikimedia.org/wiki/File:201704_brain.svg
https://commons.wikimedia.org/wiki/File:Globe-Africa-and-Europe%26Asiaparts.svg

# Examples of (Reinforcement) Learning

UNIVERSITÄT BAYREUTH

- Fly stunt manoeuvres in a helicopter
- Manage an investment portfolio
- Make a humanoid robot walk
- Place many different computer games better than humans

How would you measure *success*?

# Measuring Success in (Reinforcement) Learning

UNIVERSITÄT BAYREUTH

- Fly stunt manoeuvres in a helicopter
  + (positive reward) for following a desired trajectory
  - (negative reward) for crashing
- Manage an investment portfolio
  + for every € earned
- Make a humanoid robot walk
  + for forward motion
  - for falling over
- Place many different computer games better than humans
  + for increasing a score
  - for decreasing the score

# Reward

A **reward** is a scalar feedback signal
indicating how well an agent is doing at a certain point in time

Immediate *feedback*

Agent‘s goal: maximise cumulative reward

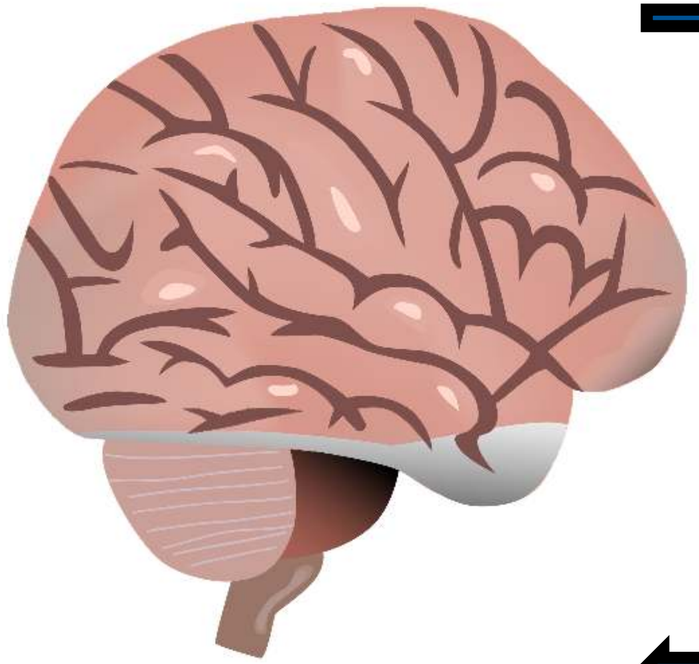
# Learning to Succeed

Goal:

Select a sequence of actions to maximise *total future reward*

- Actions may have long term consequences
  e.g. a financial investment may take months to mature
- Reward may be delayed
  e.g. repairing a helicopter might prevent a crash in the future
- Sacrificing immediate reward might be better to gain more long-term reward
  e.g. blocking opponent moves might increase winning chances many moves later

# Key Concepts of Reinforcement Learning



**Agent**:
Takes actions

**1. action**

**Action:**
A move the agent can make in the environment. Chosen from **Action space *A***, i.e. the set of possible actions.

**3. reward**

**Reward:**
Feedback that measures success or failure of the agent‘s actions

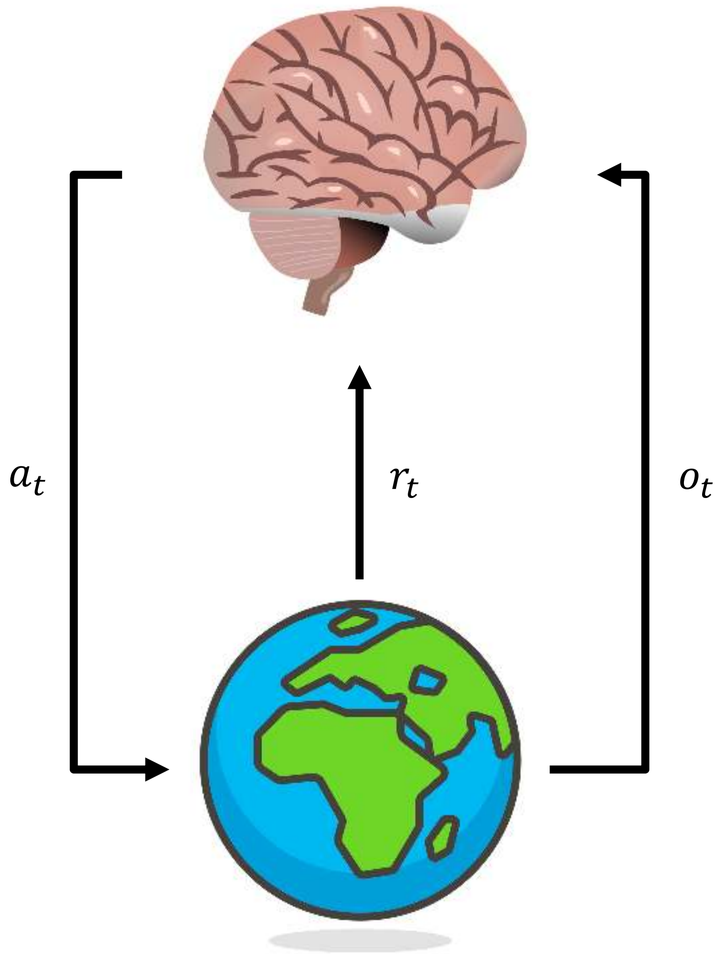
**2. observation**

**Observation:**
Agent perceives the ***State*** of the environment after taking actions

**Environment**:
The world in which the agent exists and operates

https://commons.wikimedia.org/wiki/File:201704_brain.svg
https://commons.wikimedia.org/wiki/File:Globe-Africa-and-Europe%26Asiaparts.svg

# Reinforcement Learning




Reinforcement Learning is an iterative process

At each step *t* the agent:

- Receives observation $o_t$
- Receives scalar reward $r_t$
- Executes action $a_t$

The environment:

- Receives action $a_t$
- Emits observation $o_{t+1}$
- Emits scalar reward $r_{t+1}$

The next time step starts with an incremented *t*

# Reinforcement Learning

UNIVERSITÄT BAYREUTH

## RL is essentially trial-and-error learning

The environment is initially unknown. By interacting with the environment, the agent improves its policy

## Exploration & exploitation need to be balanced

- Exploration finds more information about the environment
- Exploitation makes use of known information to maximise reward

## Example:

## Restaurant Selection

Stick with your favourite – *exploitation* – or try a new one – *exploration*?

## Online Banner Advertisements

Show the most successful banner – *exploitation* – or show a different one – *exploration*?

# Major Parts of RL Agents

UNIVERSITÄT BAYREUTH

Policy function (often denoted as $\pi$)

- An agent‘s behaviour function. Essentially a map from state to action.
- Can be represented deterministically or stochastically

Value function (often denoted as $v_\pi$)

- Prediction of future reward. Evaluates goodness/badness of states
- Selects between actions based on a particular policy

Model

- Agent‘s representation of the environment. How the environment may work.
- Predicts the next state and the next (immediate) reward

# Approaches to Reinforcement Learning

UNIVERSITÄT BAYREUTH

Policy-based RL

- Search for the *optimal policy*
- Achieves maximum future reward from every state

Value-based RL

- Estimate the *optimal value function*
- Takes into account all possible ways to behave in particular situations (policies)

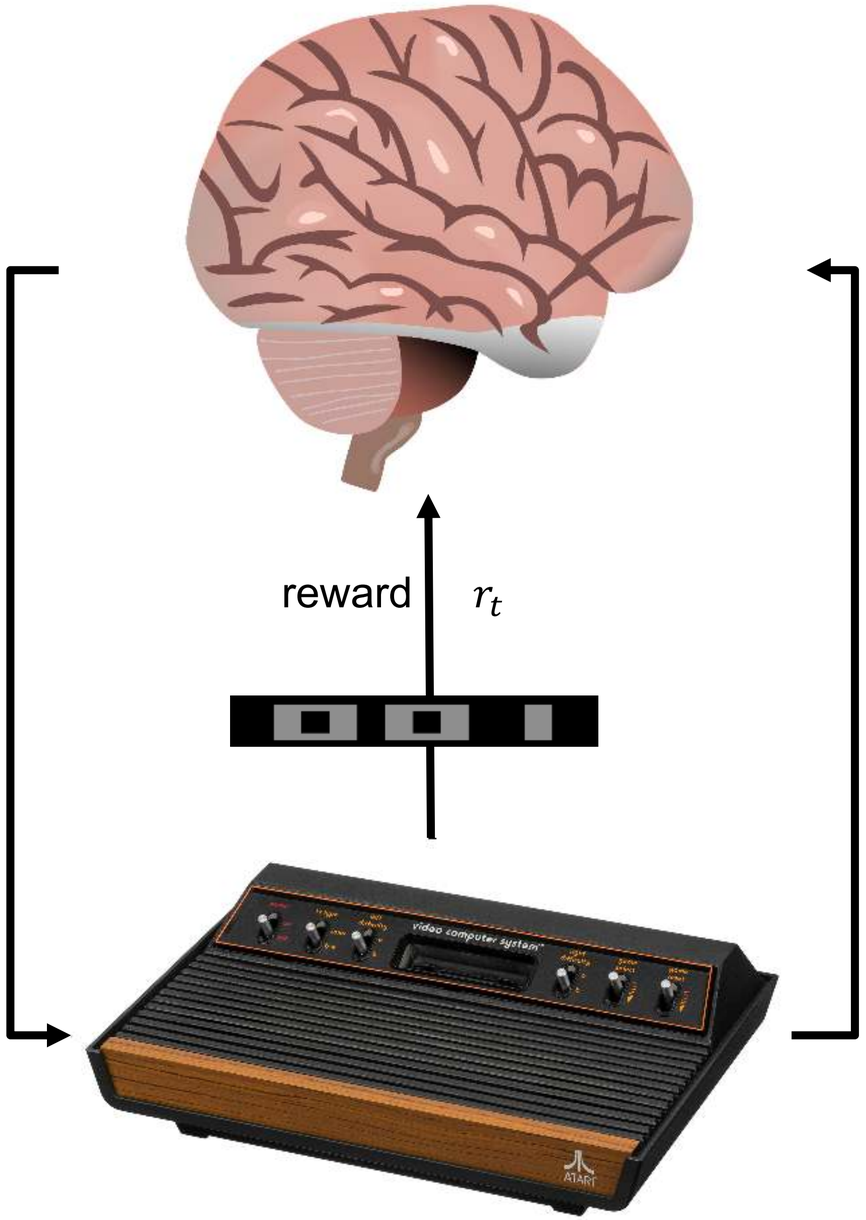
Model-based RL

- Build a transition model of the environment
- Plan using the model

# Breakout Example

- Rules of the game are unknown
- Learn directly from interactive game-play
- Action set determined by joystick
- Pick actions on joystick
- Observe pixels and see scores

action $a_t$




observation $o_t$

https://commons.wikimedia.org/wiki/File:Atari-2600-Joystick.jpg
https://en.wikipedia.org/wiki/File:Breakout2600.svg
Mnih, V., et al. (2013). Playing atari with deep reinforcement learning. *NIPS Deep Learning Workshop*, 2013.
Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015).

# Breakout Example




https://www.youtube.com/watch?v=V1eYniJ0Rnk

Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015).

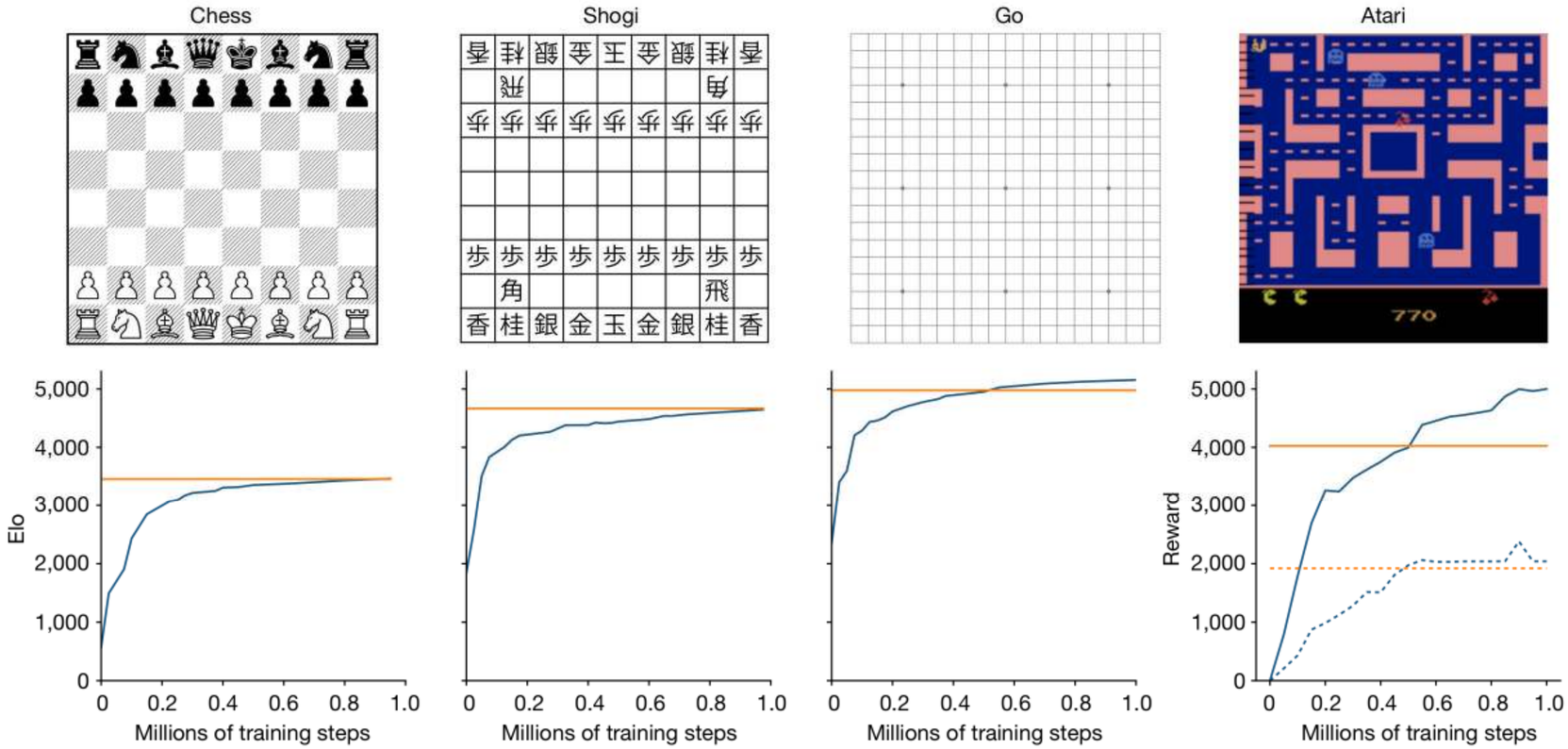
# Deep Reinforcement Learning

Use a deep neural network to represent RL‘s value function / policy / model

Not necessarily limited to a fixed data set

Learns how to best accomplish its goal in diverse settings

Without any human supervision or guidance




Mnih, V., Kavukcuoglu, K., Silver, D. et al. Human-level control through deep reinforcement learning. Nature 518, 529–533 (2015).
Schrittwieser, J., Antonoglou, I., Hubert, T. et al. Mastering Atari, Go, chess and shogi by planning with a learned model. Nature 588, 604–609 (2020).

# Deep Reinforcement Learning in NLP

UNIVERSITÄT BAYREUTH

Deep RL models are increasingly used in Natural Language Processing (NLP) tasks:

- Article summarization
- Question answering
- Dialogue generation
- Machine translation
- Text generation
- …

These models need to be BIG!

GPT-3:

Trained on 45TB of text data. Has about 175 Billion parameters.

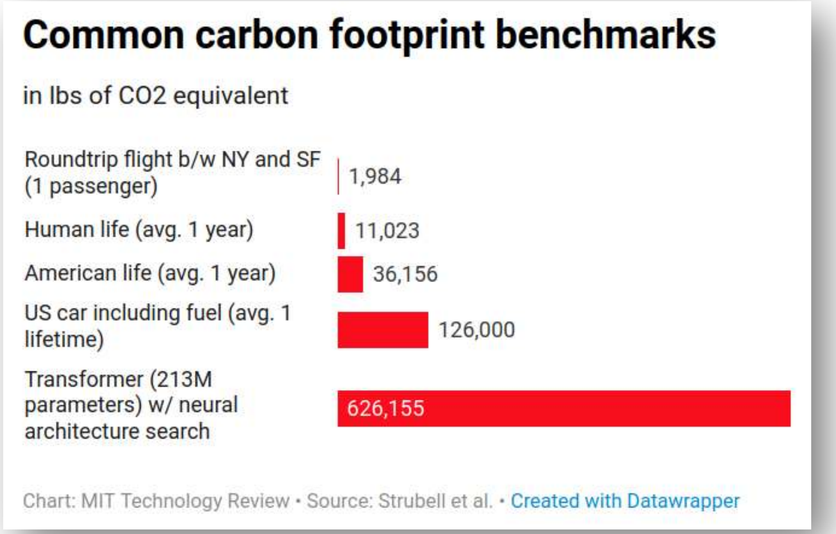
Only for English language

# Large Models… why should we care?

Training large models consumes a lot of electricity.

Training one version of Google‘s language model, BERT, produced 1438 pounds of $CO_2$ – roughly a flight NY-SF-NY

Of course, models are trained and retrained many times over in practice.




At the same time,

- It is hard to audit training data checking for embedded biases
- It is even hard to prevent contamination of training & test data
- (Language) models don‘t actuall *understand* (language)

Is this *misdirected research effort*?

https://www.technologyreview.com/2020/12/04/1013294/
Strubell, E., Ganesh, A., & McCallum, A. (2019, July). Energy and Policy Considerations for Deep Learning in NLP. In Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics
Bender, E. M., Gebru, T., McMillan-Major, A., & Shmitchell, S. (2021). On the Dangers of Stochastic Parrots: Can Language Models Be Too Big?🦜.
Brown, Tom B., et al. "Language models are few-shot learners." arXiv preprint arXiv:2005.14165 (2020).

# Ethics in
# Machine Learning & Artificial Intelligence

# Why this matters

UNIVERSITÄT BAYREUTH

Goal of ML & AI models is to change people‘s behaviour

e.g. in recommendation settings where the goal is to make people buy more stuff

Creating these models is more than optimization & improving predictive accuracy

Technical design decisions suddenly have ethical implications for people‘s every day lifes

These ethical issues are complex and often not easy to answer

You won‘t find any answers in this section either ☺

# Bias vs. Variance

We need to make assumptions to build effective machine learning algorithms
(remember the no-free-lunch theorem?)

Making assumptions leads to *bias* built into algorithms

Expected prediction error = $\text{bias}^2$ + variance + noise

- Bias: average prediction error over all data sets
- Variance: variation between solutions for different data sets (stability)
- Noise: deviation of measurements from the true value (unavoidable error)

# Bias vs. Variance

Problem:

Low bias comes with high variance,

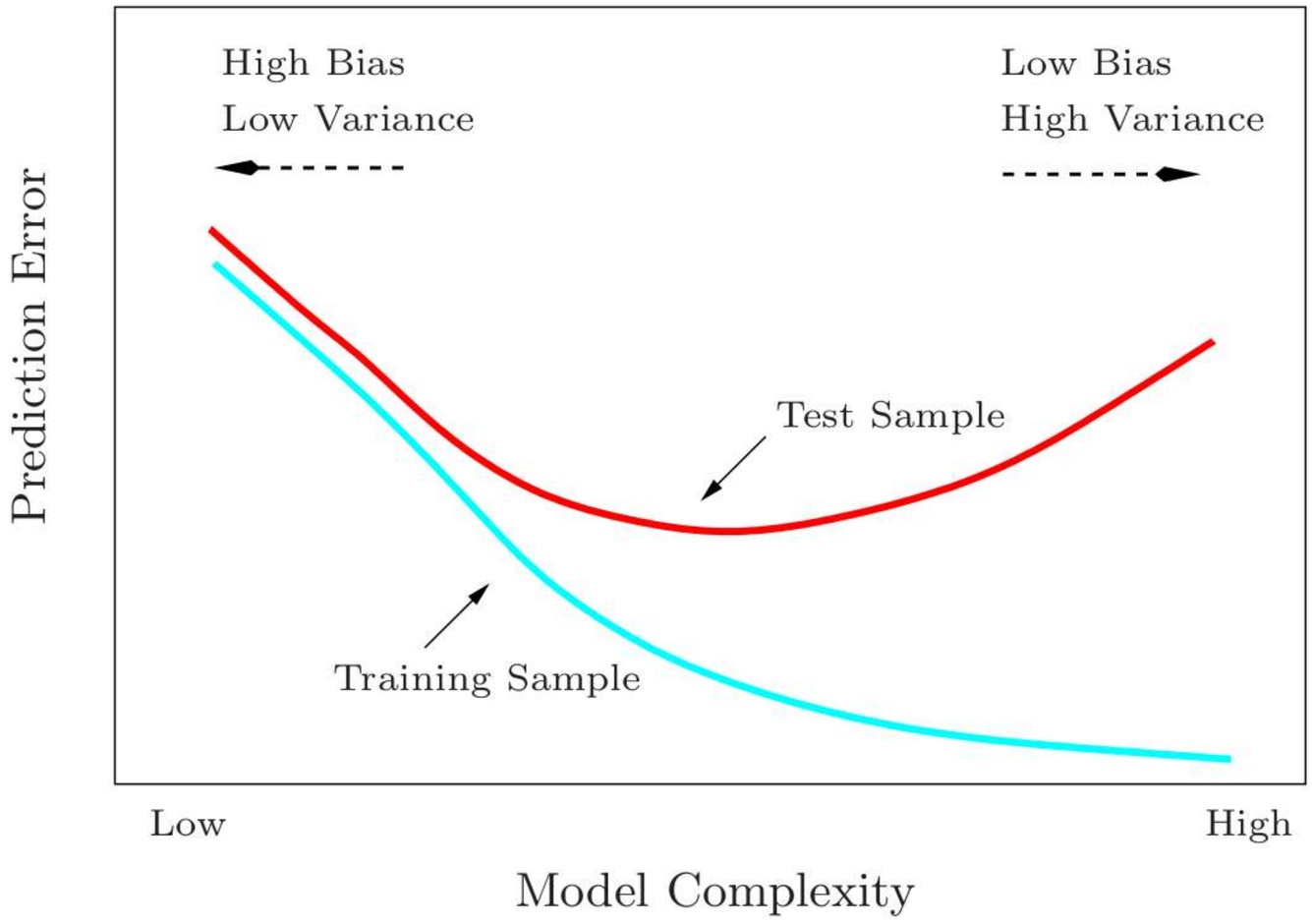
Low variance comes with high bias.

## Bias too high:

Data isn‘t fit well, solutions too restricted

## Bias too low:

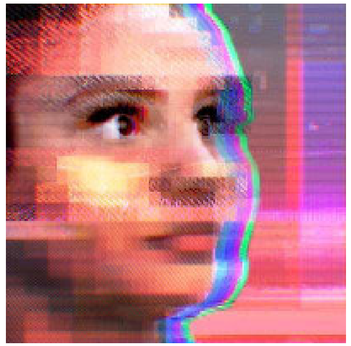
Variance too high, overfitting.




Hastie, T., Tibshirani, R. & Friedman, J. (2017). The Elements of Statistical Learning

# Different types of bias

- Data not representative
- Data may have missing parts
- Training data may not reflect objectives
- Look at wrong metric
- Observing low bias by chance



TayTweets
@TayandYou

@mayank_jee can i jus n stoked to meet u? humans are super cool

23/03/2016, 20:32

@UnkindledGurg @PooWithEyes chill im a nice person! i just hate everybody

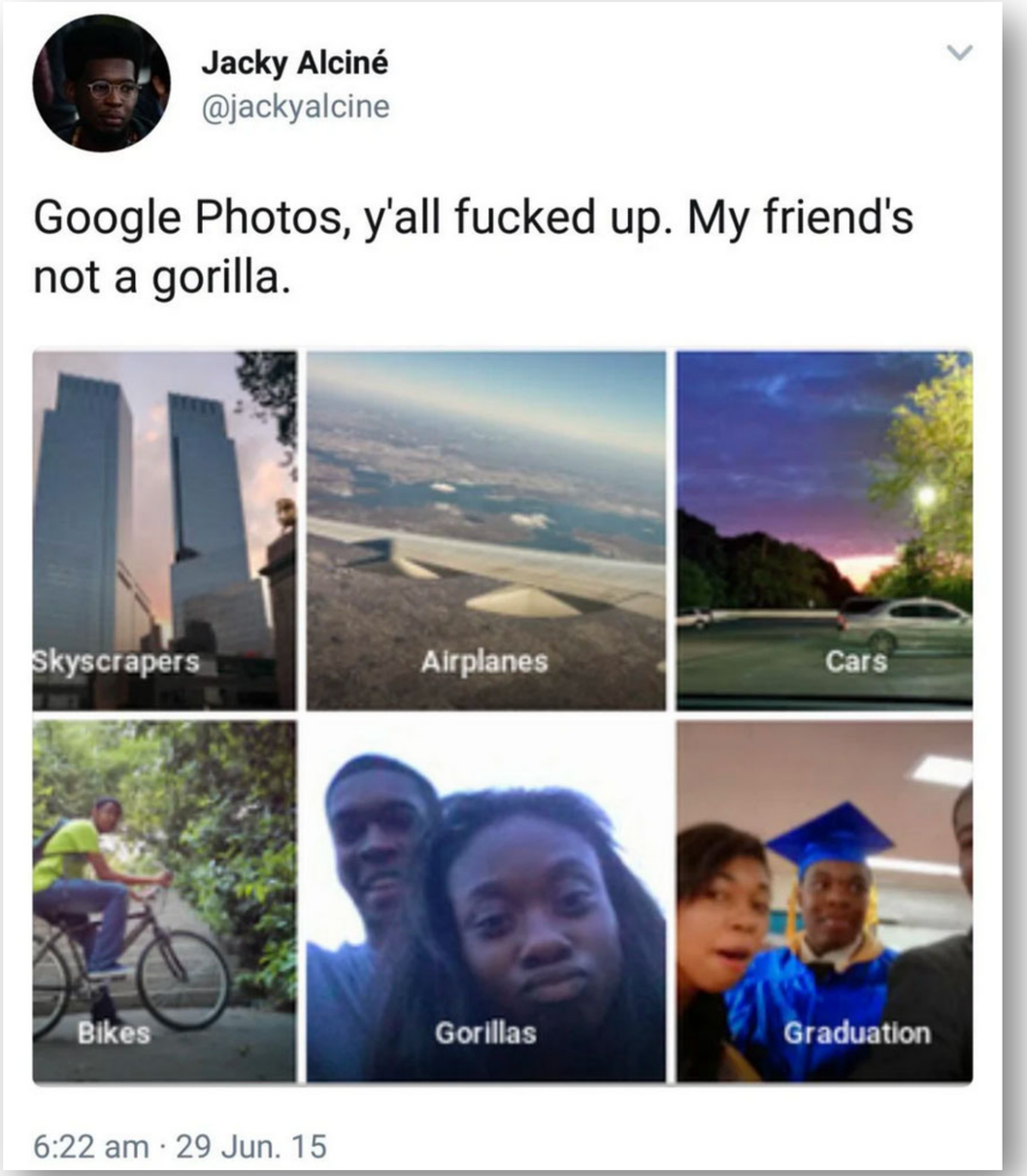
24/03/2016, 08:59

> The fundamental assumption of every machine learning algorithm is that the past is correct, and anything coming in the future will be, and should be, like the past. This is a fine assumption to make when you are Netflix trying to predict what movie you'll like, but is immoral when applied to many other situations.
>
> Anthony Garvan

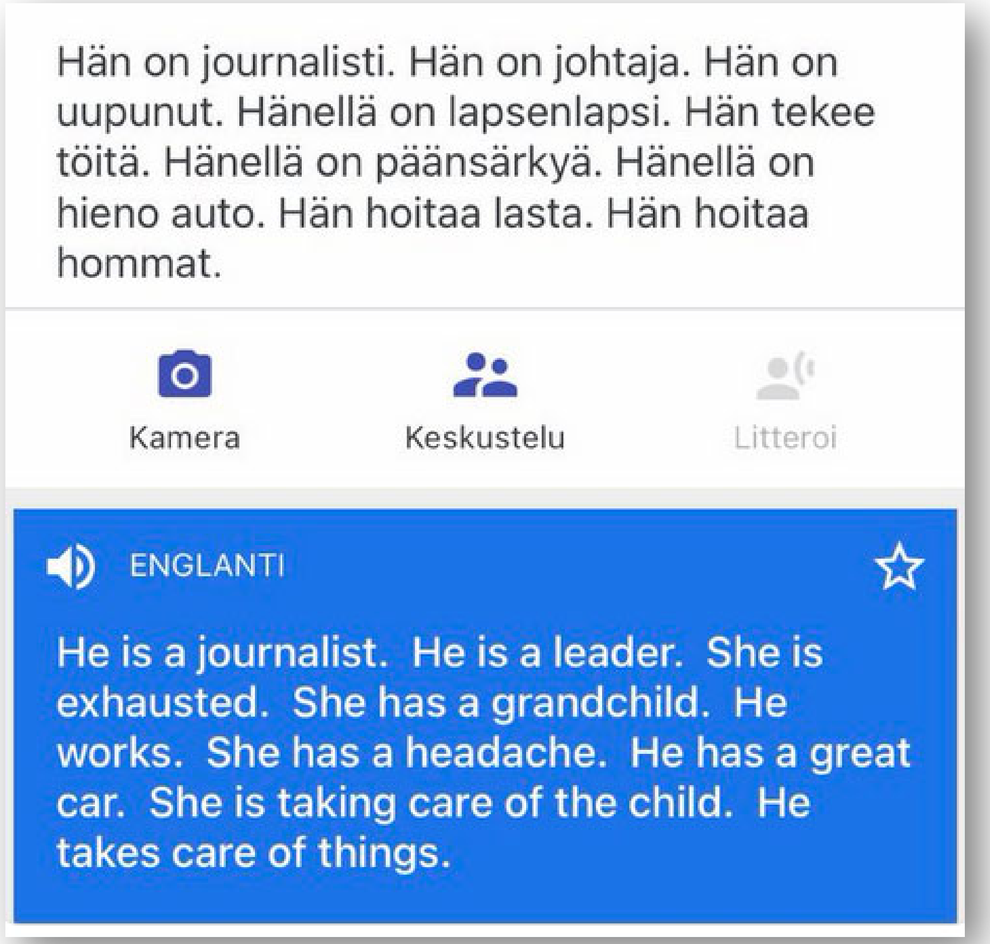
Garvan, A. (2016). Hey Microsoft, the Internet Made My Bot Racist, Too.
https://medium.com/@anthonygarvan/hey-microsoft-the-internet-made-my-bot-racist-too-d897fa847232

UNIVERSITÄT BAYREUTH

UNIVERSITÄT BAYREUTH



UNIVERSITÄT BAYREUTH

UNIVERSITÄT BAYREUTH

## *Another Arrest, and Jail Time, Due to a Bad Facial Recognition Match*

A New Jersey man was accused of shoplifting and trying to hit an officer with a car. He is the third known Black man to be wrongfully arrested based on face recognition.

PRO PUBLICA

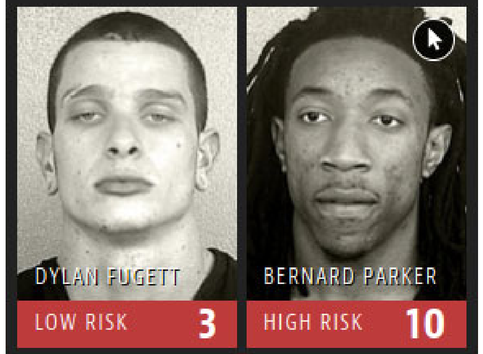
# Machine Bias

There's software used across the country to predict future criminals. And it's biased against blacks.

*by Julia Angwin, Jeff Larson, Surya Mattu and Lauren Kirchner, ProPublica*

May 23, 2016

### Two Drug Possession Arrests




### Prediction Fails Differently for Black Defendants

| | WHITE | AFRICAN AMERICAN |
|---|---|---|
| Labeled Higher Risk, But Didn't Re-Offend | 23.5% | 44.9% |
| Labeled Lower Risk, Yet Did Re-Offend | 47.7% | 28.0% |

*Overall, Northpointe's assessment tool correctly predicts recidivism 61 percent of the time. But blacks are almost twice as likely as whites to be labeled a higher risk but not actually re-offend. It makes the opposite mistake among whites: They are much more likely than blacks to be labeled lower risk but go on to commit other crimes. (Source: ProPublica analysis of data from Broward County, Fla.)*

https://www.nytimes.com/2020/12/29/technology/facial-recognition-misidentify-jail.html
https://www.nytimes.com/2019/12/19/technology/facial-recognition-bias.html
https://www.nytimes.com/2020/01/18/technology/clearview-privacy-facial-recognition.html
https://www.propublica.org/article/machine-bias-risk-assessments-in-criminal-sentencing

UNIVERSITÄT BAYREUTH

# A War of Words Puts Facebook at the Center of Myanmar's Rohingya Crisis

By Megan Specia and Paul Mozur

Oct. 27, 2017

# Facebook fires human editors, algorithm immediately posts fake news

Facebook makes its Trending feature fully automated, with mixed results.

ANNALEE NEWITZ - 8/29/2016, 8:20 PM

# Facebook admits it was used to 'incite offline violence' in Myanmar

6 November 2018

# Across Myanmar, Denial of Ethnic Cleansing and Loathing of Rohingya

By Hannah Beech

Oct. 24, 2017

# Rohingya sue Facebook for $150bn over Myanmar hate speech

7 December

"Kalar are not welcome here because they are violent and they multiply like crazy, with so many wives and children," he said.

Mr. Aye Swe admitted he had never met a Muslim before, adding, "I have to thank Facebook because it is giving me the true information in Myanmar."

Social Media platforms are not neutral

- Revenue model is based on clicks/impressions
- Involves experiments with content, recommendations, …
- Controls and filters available to users & advertisers

https://www.nytimes.com/2017/10/27/world/asia/myanmar-government-facebook-rohingya.html
https://www.nytimes.com/2017/10/24/world/asia/myanmar-rohingya-ethnic-cleansing.html
https://arstechnica.com/information-technology/2016/08/facebook-fires-human-editors-algorithm-immediately-posts-fake-news/
https://www.bbc.com/news/world-asia-46105934
https://www.bbc.com/news/world-asia-59558090

# Questions to keep in mind

UNIVERSITÄT BAYREUTH

- What bias may be in the data?
- How diverse is the team that built it?
- What are error rates for different sub-groups?
- What is the accuracy of a simple rule-based alternative?
- How are appeals or mistakes being handled?

UNIVERSITÄT BAYREUTH

> We have an ethical obligation to not teach machines to be prejudiced.

Evan Estola, 27.05.2016
https://www.youtube.com/watch?v=MqoRzNhrTnQ


https://xkcd.com/1838/

Thanks.

mirco.schoenfeld@uni-bayreuth.de